

Gauge-Invariant Approach to Meson Photoproduction Including the Final-State Interaction

Helmut Haberzettl

Center for Nuclear Studies, Department of Physics
The George Washington University, Washington, DC

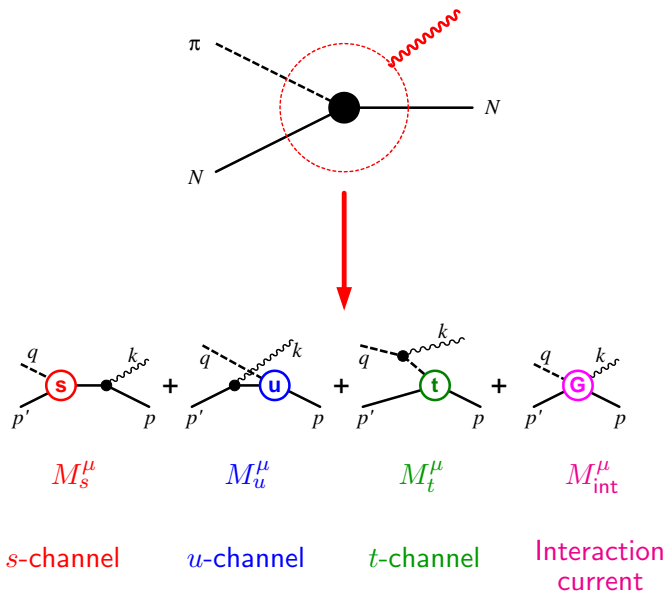
Collaborators: Kanzo Nakayama, UGA
Siggie Krewald, FZJ



Example: $\gamma N \rightarrow \pi N$



Attaching a Photon to the πNN Vertex



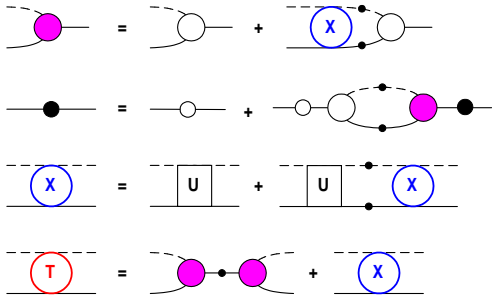
Total photoproduction amplitude

$$M^\mu = M_s^\mu + M_u^\mu + M_t^\mu + M_{int}^\mu$$



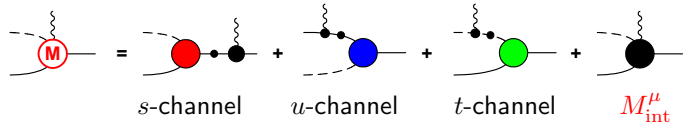
Pions, Nucleons, and Photons

Hadronic scattering: $\pi N \rightarrow \pi N$



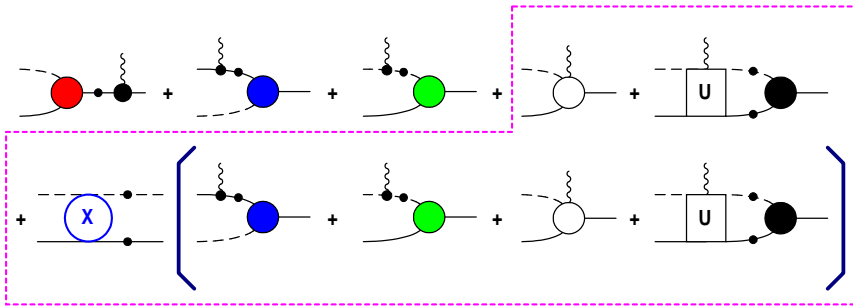
Photoproduction: $\gamma N \rightarrow \pi N$

Amplitude:



Theory:

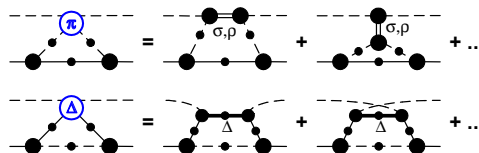
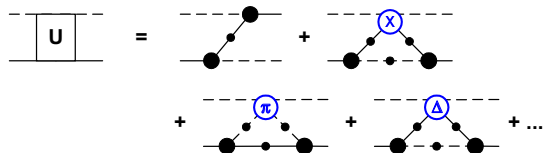
PRC 56, 2041 (1997)



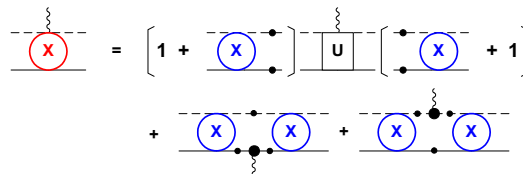
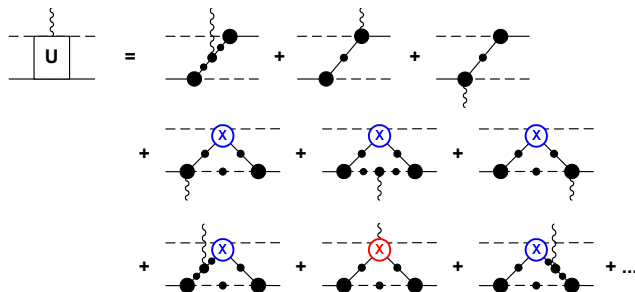
Interaction
Current M_{int}^{μ}



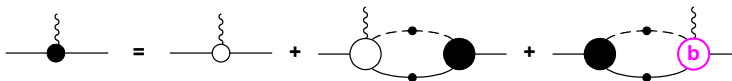
Hadronic Driving Terms



Electromagnetic Couplings to Driving Terms



Dressed Nucleon Current



This is **VERY** complicated!

- The full theory is gauge-invariant as a matter of course.
- In practice, truncations are necessary.
- Truncations usually destroy gauge invariance.

Prescriptions must be found that restore gauge invariance in practical applications.



New Theoretical Tools for Nucleon Resonance Analysis



Old Theoretical Tool

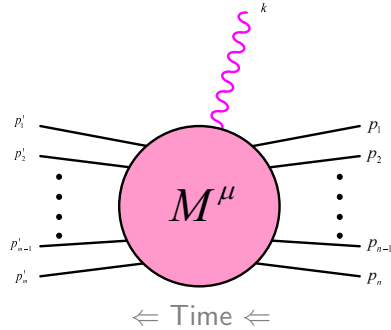
~~New Theoretical Tools~~ for Nucleon Resonance Analysis

Gauge Invariance

- [1] J.C. Ward, Phys. Rev. **78**, 182 (1950)
- [2] Y. Takahashi, Nuovo Cimento **6**, 371 (1957)
- [3] E. Kazes, Nuovo Cimento **13**, 1226 (1959)



Gauge Invariance

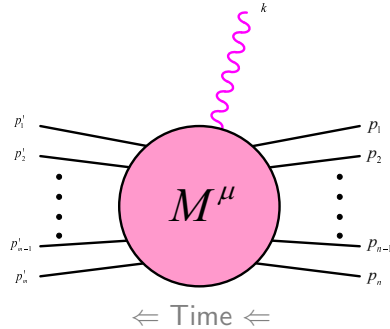


Physical condition:

$$\text{Current Conservation: } k_\mu M^\mu = 0 \quad \text{all hadrons on-shell}$$



Gauge Invariance



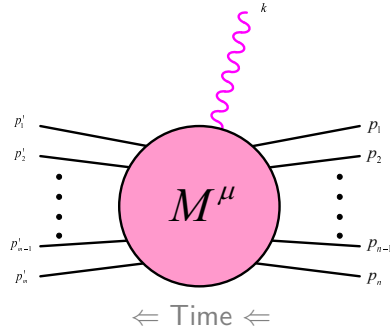
Physical condition:

$$\text{Current Conservation: } k_\mu M^\mu = 0 \quad \text{all hadrons on-shell}$$

Recipe for Phenomenological Currents:
$$M^\mu = \tilde{M}^\mu - a^\mu \frac{k \cdot \tilde{M}}{k \cdot a} \quad (a^\mu \text{ arbitrary})$$



Gauge Invariance



Physical condition:

$$\text{Current Conservation: } k_\mu M^\mu = 0 \quad \text{all hadrons on-shell}$$

Recipe for Phenomenological Currents: $M^\mu = \tilde{M}^\mu - a^\mu \frac{k \cdot \tilde{M}}{k \cdot a}$ (a^μ arbitrary)

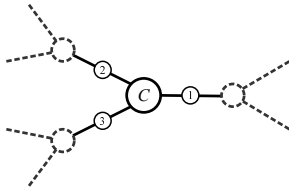
Not good enough for microscopic approaches!



Q: So why is it not okay to simply subtract terms to create a transverse current?

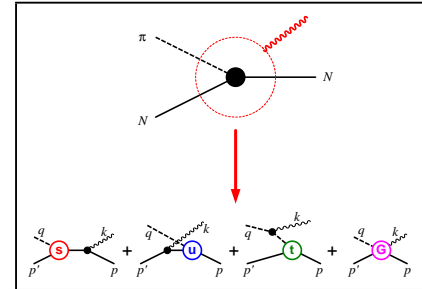
A: Because such currents are **always** transverse — on- and off-shell. This creates unacceptable **inconsistencies** in microscopic approaches.

To show this consider



and attach photon in all places of the internal 3-point function...

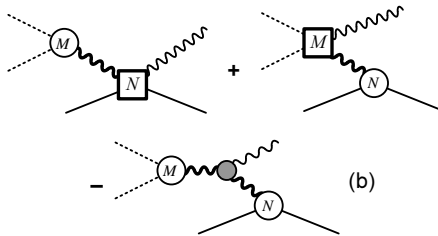
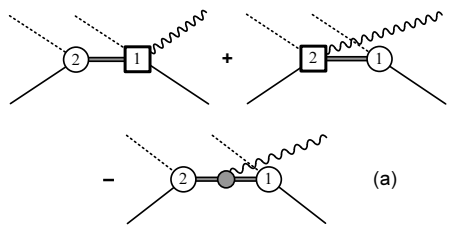
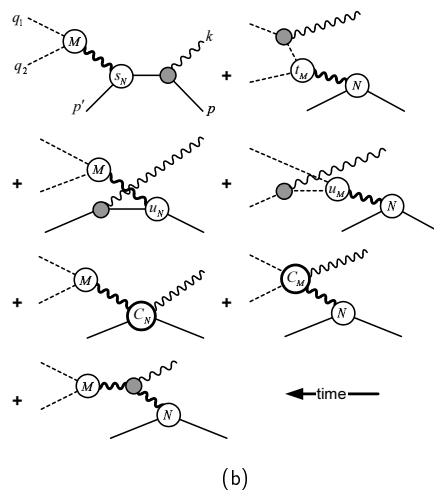
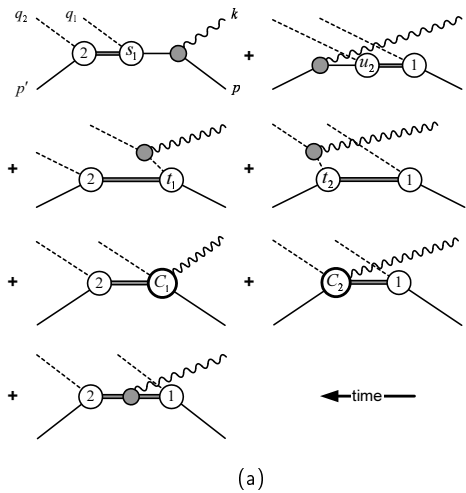
Details, details... → **Proof**



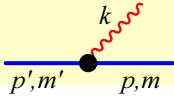
Example: Two-pion production



Basic Two-pion Production Mechanisms



Ward–Takahashi Identities for the Nucleon and the Pion Currents

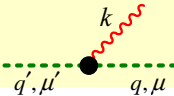


$$k_\mu \Gamma_N^\mu(p', p) = S_N^{-1}(p') Q_N - Q_N S_N^{-1}(p) \quad \text{nucleon}$$

Form factors: $f_n^{ij} = f_n^{ij}(p'^2, p^2; k^2)$

$$\begin{aligned} \Gamma_N^\nu(p', p) = & \gamma^\nu Q_N + \left[t^\nu f_1^{00} + \frac{i\sigma^{\nu\mu} k_\mu}{2m} f_2^{00} \right] + \frac{\not{p}' - m}{2m} \left[t^\nu f_1^{10} + \frac{i\sigma^{\nu\mu} k_\mu}{2m} f_2^{10} \right] \\ & + \left[t^\nu f_1^{01} + \frac{i\sigma^{\nu\mu} k_\mu}{2m} f_2^{01} \right] \frac{\not{p} - m}{2m} + \frac{\not{p}' - m}{2m} \left[t^\nu f_1^{11} + \frac{i\sigma^{\nu\mu} k_\mu}{2m} f_2^{11} \right] \frac{\not{p} - m}{2m} \end{aligned}$$

$$t^\nu = \gamma^\nu k^2 - k^\nu \not{k}$$



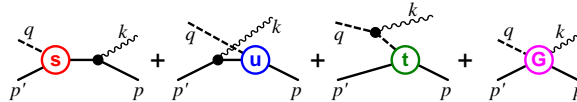
$$k_\mu \Gamma_\pi^\mu(q', q) = \Delta_\pi^{-1}(q') Q_\pi - Q_\pi \Delta_\pi^{-1}(q) \quad \text{pion}$$

$$\Gamma_\pi^\nu(q', q) = (q' + q)^\nu Q_\pi + \left[(q' + q)^\nu k^2 - k^\nu k \cdot (q' + q) \right] Q_\pi f(q'^2, q^2; k^2)$$



Generalized Ward–Takahashi Identity for the Pion-Production Current

$$k_\mu M^\mu = -[F_s \tau] S_{p+k} Q_N S_p^{-1} + S_{p'}^{-1} Q_N S_{p'-k} [F_u \tau] + \Delta_{p-p'+k}^{-1} Q_\pi \Delta_{p-p'} [F_t \tau]$$



Equivalently:

$$k_\mu M_{\text{int}}^\mu = -[F_s \tau] Q_N + Q_N [F_u \tau] + Q_\pi [F_t \tau]$$



Note:

Charge conservation: $-\tau Q_N + Q_N \tau + Q_\pi \tau = 0$



Prescriptions to Restore Gauge Invariance

Gross/Riska

Absorb hadronic form factors in propagator and construct single-hadron currents to satisfy the appropriate WT identities.

Ohta

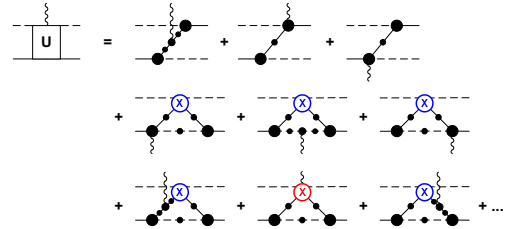
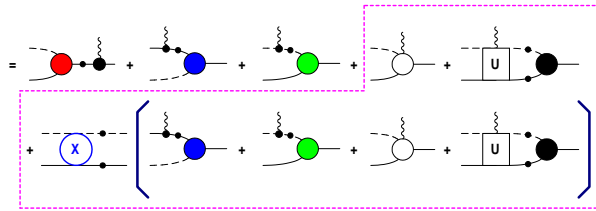
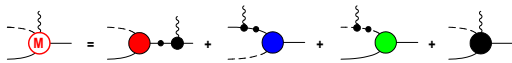
Construct contact current based on minimal substitution of πNN form factor. Basically removes hadronic structure from non-transverse contributions. Not applicable to explicit final-state interactions.

This work

Generalizes Ohta by introducing common form factor for non-transverse contributions only. Allows inclusion of explicit final-state interactions.



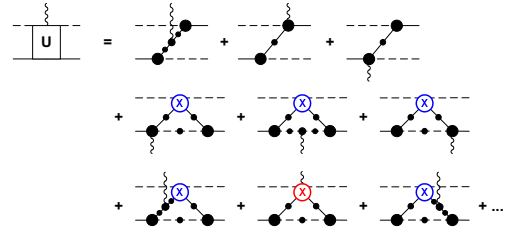
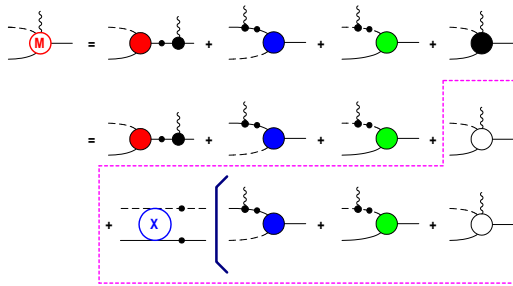
Lowest-Order Strategy



Replace $\text{white circle} + \text{U box}$ by phenomenological contact term such that WTI for interaction current is satisfied.



Lowest-Order Strategy



Replace $\text{white circle with wavy line} + \text{box U with black dot}$ by phenomenological contact term such that WTI for interaction current is satisfied.

Result

$$M_{\text{int}}^{\mu} = M_c^{\mu} + T^{\mu} + XG_0 \left[\underbrace{(M_u^{\mu} - m_u^{\mu})}_{\text{transverse}} + \underbrace{(M_t^{\mu} - m_t^{\mu})}_{\text{transverse}} + T^{\mu} \right]$$

T^{μ} : undetermined transverse current

with

$$k_{\mu} M_c^{\mu} = -F_s e_i + F_u e_f + F_t e_{\pi} .$$



Phenomenological Choice for M_c^μ

$$M_c^\mu = g_\pi \gamma_5 \left\{ \left[\lambda + (1 - \lambda) \frac{\not{q} - \beta \not{k}}{m' + m} \right] C^\mu - (1 - \lambda) \frac{\gamma^\mu}{m' + m} \left[e_\pi f_t - \beta k_\rho C^\rho \right] \right\}$$

λ, β : free parameters

Non-singular auxiliary current:

$$C^\mu = -e_\pi \frac{(2q - k)^\mu}{t - q^2} (f_t - \hat{F}) - e_f \frac{(2p' - k)^\mu}{u - p'^2} (f_u - \hat{F}) - e_i \frac{(2p + k)^\mu}{s - p^2} (f_s - \hat{F}),$$

with

$$k_\mu C^\mu = e_\pi f_t + e_f f_u - e_i f_s$$

Subtraction function:

$$\hat{F} = 1 - \hat{h} (1 - \delta_s f_s) (1 - \delta_u f_u) (1 - \delta_t f_t)$$

$\delta_x = 0, 1$

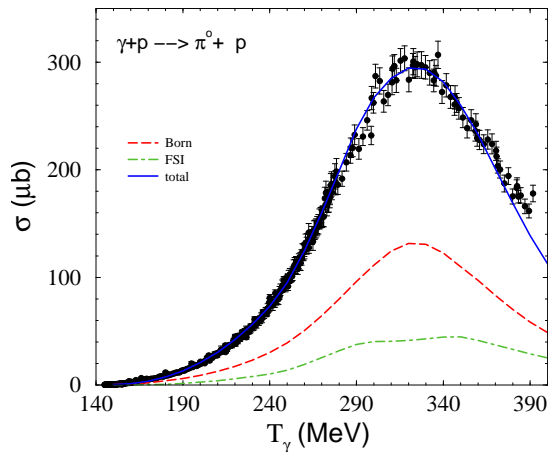
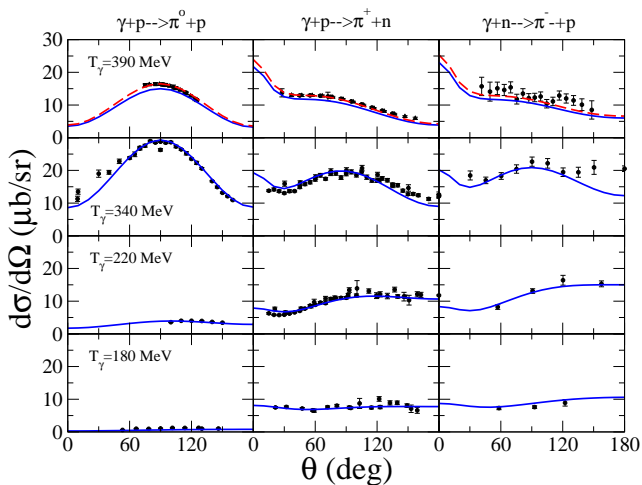
The function $\hat{h} = \hat{h}(s, u)$ is free fit function.

[Ohta: $\hat{h} = 0, X = 0, T^\mu = 0$]



First Application

(straight out of the 'box' — not optimized)

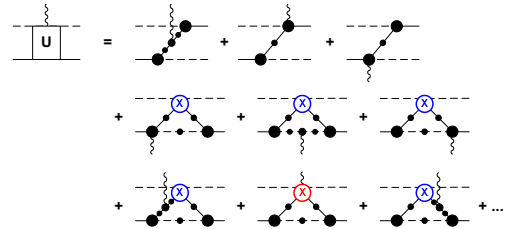
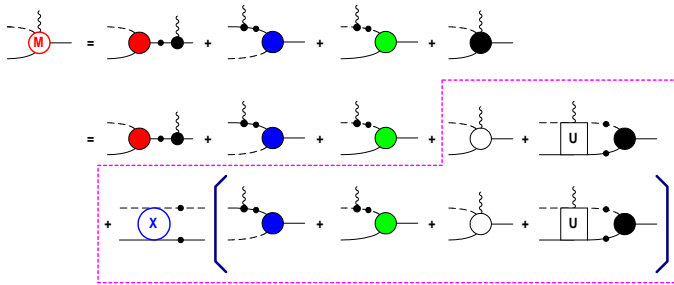


Includes

- ρ , ω , and a_1 exchanges in the t -channel;
- Δ in s - and u -channels;
- FSI with Jülich πN T -matrix.



Next Order



Instead of replacing all of $\text{white vertex} + \text{U vertex}$, take into account $\underbrace{\text{three diagrams}}_{=E^\mu \text{ exchange current}}$ explicitly.



Next Order

Diagrammatic equation: $M = \text{red blob} + \text{blue blob} + \text{green blob} + \text{black blob}$

Diagrammatic equation: $M = \text{red blob} + \text{blue blob} + \text{green blob} + \text{white blob} + \text{U blob} + \text{X blob} + \dots$

Diagrammatic equation: $U = \text{diagonal lines} + \text{X blobs} + \dots$

Instead of replacing all of $\text{white blob} + \text{U blob}$, take into account $\underbrace{\text{diagonal lines} + \text{X blobs}}_{=E^\mu \text{ exchange current}}$ explicitly.

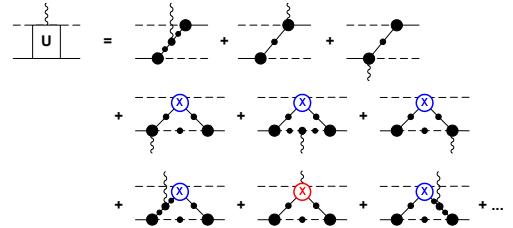
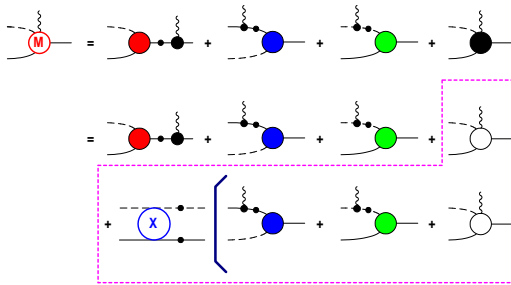
General gauge-invariance condition for U^μ

$$k_\mu U^\mu(p', q', p, q) = Q'_\pi U(p', q' - k, p, q) + Q'_N U(p' - k, q', p, q) - U(p', q', p, q + k) Q_\pi - U(p', q', p + k, q) Q_N$$

Holds also true for every subset of U^μ that originates from attaching a photon in all possible ways to a single two-body irreducible hadron graph \implies also true for E^μ



Next-Order Result



Previously

$$M_{\text{int}}^{\mu} = M_c^{\mu} + T^{\mu} + XG_0 \left[\underbrace{(M_u^{\mu} - m_u^{\mu})}_{\text{transverse}} + \underbrace{(M_t^{\mu} - m_t^{\mu})}_{\text{transverse}} + T^{\mu} \right]$$

T^{μ} : undetermined transverse current

Now: T^{μ} is replaced by

$$T^{\mu} = \left[E^{\mu} - \tilde{E}^{\mu} \right] G_0[F\tau] + T'^{\mu}$$

T'^{μ} : undetermined transverse current



Phenomenological Subtraction Current

$$\begin{aligned}
 \tilde{E}^\mu &= g_\pi^2 \gamma_5 \left[\lambda - (1 - \lambda) \frac{\not{q}}{m' + m_N} \right] S_N \gamma_5 \left[\lambda + (1 - \lambda) \frac{\not{q}'}{m + m_N} \right] D^\mu \\
 &+ (1 - \lambda) g_\pi^2 f_\pi f_1 e_\pi \frac{\gamma_5 \gamma^\mu}{m' + m_N} S_N \gamma_5 \left[\lambda + (1 - \lambda) \frac{\not{q}'}{m + m_N} \right] \\
 &- (1 - \lambda) g_\pi^2 f_2 f_{\pi'} \gamma_5 \left[\lambda - (1 - \lambda) \frac{\not{q}}{m' + m_N} \right] S_N e'_\pi \frac{\gamma_5 \gamma^\mu}{m + m_N}
 \end{aligned}$$

Non-singular auxiliary current:

$$\begin{aligned}
 D^\mu &= e'_\pi \frac{(2q' - k)^\mu}{(q' - k)^2 - q'^2} (f_2 f_{\pi'} - \hat{G}) + e'_N \frac{(2p' - k)^\mu}{(p' - k)^2 - p'^2} (f_{N'} f_1 - \hat{G}) \\
 &+ e_\pi \frac{(2q + k)^\mu}{(q + k)^2 - q^2} (f_\pi f_1 - \hat{G}) + e_N \frac{(2p + k)^\mu}{(p + k)^2 - p^2} (f_2 f_N - \hat{G}),
 \end{aligned}$$

with $\hat{G} = 1 - \hat{g} (1 - f_\pi f_1) (1 - f_2 f_N) (1 - f_2 f_{\pi'}) (1 - f_{N'} f_1)$

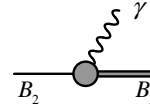
\hat{g} : free fit function

Note: $k_\mu D^\mu = e_\pi f_\pi f_1 + e_N f_2 f_N - e'_\pi f_2 f_{\pi'} - e'_{N'} f_{N'} f_1$

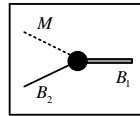


Transition Currents

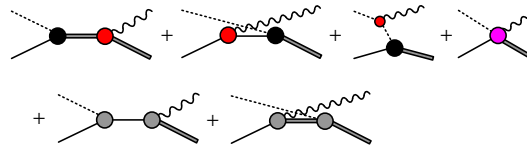
- Gauge invariance demands that **transition currents be transverse**.
Outline of proof:



Coupling the photon to MB_1B_2 vertex,



, produces

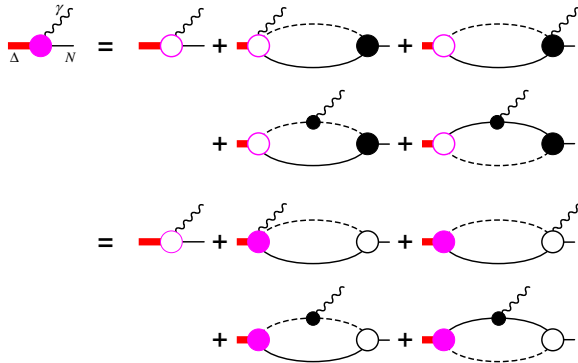


First line produces correct off-shell relation. Terms in second line must vanish individually. QED

- In a consistent microscopic approach, this should be ensured dynamically. There should be no need to adjust the transversality manually.



Example: $\gamma N \rightarrow \Delta$



Transversality of this current is ensured by the fact that $N \rightarrow \Delta$ is not possible as a physical process, i.e.

$$\text{Diagram with black vertex and wavy line} = \text{Diagram with white vertex} = 0.$$

With consistent dynamics, this current satisfies

$$\Gamma^{\beta\mu} = G_1 \gamma_5 \left(k^\beta \gamma^\mu - g^{\beta\mu} \not{k} \right) + G_2 \gamma_5 \left(k^\beta P^\mu - g^{\beta\mu} k \cdot P \right) + G_3 \gamma_5 \left(k^\beta k^\mu - g^{\beta\mu} k^2 \right)$$

as a matter of course, where $P = (p + p')/2 = (2p + k)/2$. There is **no** need for subtractions.



Summary

- Gauge invariance is a fundamental symmetry — without it results become arbitrary.
- Current conservation $k_\mu M^\mu = 0$ necessary, **but not sufficient**, for gauge invariance of microscopic theories.
- Subtractions $M^\mu = \tilde{M}^\mu - a^\mu \frac{k \cdot \tilde{M}}{k \cdot a}$ in general **do not** ensure gauge invariance.
- Most of the popular dynamical models are **not** gauge-invariant; despite claims to the contrary.
- **Generalized Ward–Takahashi identities are necessary and sufficient.**
- Real-world calculations require truncations of dynamical mechanisms that destroy gauge invariance.
- Prescriptions required to restore gauge invariance.
- Transition currents are transverse and this property must be ensured dynamically.
- Formalism allows inclusion of final-state interaction.
- FSI dynamics can be refined step-by-step in controlled manner.

